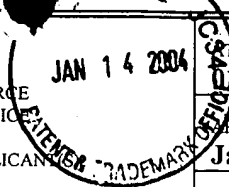


FORM PTO 1449 (modified)				PRIORITY DOCKET NO. 0263.001203		APPLICATION NO. 09/934,799	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Jason Peter Andrew CHARLESWORTH, et al.			
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				FILING DATE August 23, 2001		GROUP 2654	
Submitted to the PTO: January 14, 2004							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,202,952	04/1993	Gillick, et al.	395	2	
		5,675,706	10/1997	Lee, et al.	395	2.65	
		5,680,605	10/1997	Torres	395	603	
		5,852,822	12/1998	Srinivasan, et al.	707	4	
		5,907,821	05/1999	Kaji, et al.	704	4	
		6,061,679	05/2000	Bournas, et al.	707	3	
		6,487,532 B1	11/2002	Schoofs, et al.	704	251	
		6,567,816 B1	05/2003	Desai, et al.	707	102	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		WO 00/54168	09/14/2000	PCT			In English
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
		R. Haeb-Umbach, et al., "Automatic transcription of unknown words in a speech recognition system," International Conference on Acoustics, Speech, and Signal Processing, Detroit, Michigan, Vol. 1, pp. 840-843 (May 9-12, 1995).					
		S. Cassidy et al., "EMU: an Enhanced Hierarchical Speech Data Management System," Proc. of the 6th Australian Speech Science and Technology Conference, Adelaide, pp. 381-386 (1996).					
		C. Gagnoulet, et al., "MARIEVOX: A Voice-Activated Information System," Speech Communication Vol. 10, No. 1, pp. 23-31 (February 1991).					
		K. Ng et al., "Phonetic Recognition for Spoken Document Retrieval," ICASSP (1998).					
		K. Ng et al., "Subword Unit Representations for Spoken Document Retrieval," Eurospeech (1997).					
		K. Ng, "Survey of Approaches to Information Retrieval of Speech Messages," pp. 1-34 (February 16, 1996).					
		M.J. Witbrock et al., "Using Words and Phonetic Strings for Efficient Information Retrieval from Imperfectly Transcribed Spoken Documents," XP-002146047 (1997).					
		D. Sankoff, et al., Time Warps, String Edits, and Macro Molecules: The Theory And Practice of Sequence Comparison, p. 1-44, 211-214, 311-321, and 359-362 (1983).					
		C. Berge, "Graphs and Hypergraphs," XP-002192893 (1976).					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

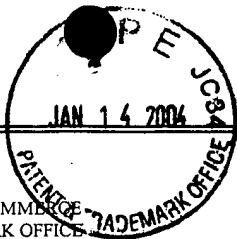
RECEIVED

Sheet 1 of 2

MHW:ayr

JAN 16 2004

Technology Center 2600



FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Submitted to the PTO: January 14, 2004

ATTY DOCKET NO.
01263.001203APPLICATION NO.
09/934,799 #15APPLICANT
Jason Peter Andrew CHARLESWORTH, et al.FILING DATE
August 23, 2001GROUP
2654

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,999,902	12/1999	Scahill, et al.	704	240	
	5,737,489	04/1998	Chou, et al.	395	2.65	
	6,192,337 B1	02/2001	Ittycheriah, et al.	704	231	
	6,535,850 B1	03/2003	Bayya	704	239	
	6,567,778 B1	05/2003	Chao Chang, et al.	704	257	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	EP 0 789 349 A2	08/13/1997	Europe			In English
	EP 0 849 723 A2	06/24/1998	Europe			In English
	GB 2 349 260 A	10/25/2000	Great Britain			In English
	WO 00/31723	06/02/2000	PCT			In English

RECEIVED
JAN 16 2004
Technology Center 2600

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	M. Rahim, "A Neural Tree Network for Phoneme Classification with Experiments on the TIMIT Database," IEEE, pp. 345-348 (1992).
	S. Okawa, et al., "Automatic Training of Phoneme Dictionary Based on Mutual Information Criterion," IEEE, pp. 241-244 (1994).
	C. Gerber, "A General Approach to Speech Recognition," Proceedings of the Final Workshop on Multimedia Information Retrieval (Miro '95), Glasgow, Scotland, pp. 0-12 (September 18-20, 1995).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

MHW:ayr

DC_MAIN 145854v1